

AMENDMENT TRANSMITTAL LETTER (Small Entity)Applicant(s): **Richard C. PAYNE**

Docket No.

GENP:101_US_

Application No.

09/893,633

Filing Date

06/27/2001

Examiner

Clement B. Graham

Customer No.

24041

Group Art Unit

3692

Confirmation No.

5630**COMPUTER BASED SYSTEM FOR VALUING AND HEDGING CUSTOMIZED INDEXED CALL
OPTION****COMMISSIONER FOR PATENTS:**

Transmitted herewith is an amendment in the above-identified application.

☐ Applicant claims small entity status. See 37 CFR 1.27

The fee has been calculated and is transmitted as shown below.

CLAIMS AS AMENDED

	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST # PREV. PAID FOR	NUMBER EXTRA CLAIMS PRESENT	RATE	ADDITIONAL FEE
TOTAL CLAIMS	23 -	23 =	0	x \$25.00	\$0.00
INDEP. CLAIMS	10 -	11 =	0	x \$100.00	\$0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT					\$0.00

- ☒ No additional fee is required for amendment.
- ☐ Please charge Deposit Account No. _____ in the amount of _____
- ☐ A check in the amount of _____ to cover the filing fee is enclosed.
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- ☒ Any additional filing fees required under 37 C.F.R. 1.16.
- ☒ Any patent application processing fees under 37 CFR 1.17.
- ☐ Payment by credit card. Form PTO-2038 is attached.

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/C. Paul Maliszewski/

*Signature*Dated: **July 31, 2007**

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I certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on

July 31, 2007*(Date)*

/C. Paul Maliszewski/

*Signature of Person Mailing Correspondence***C. Paul Maliszewski***Typed or Printed Name of Person Mailing Correspondence*

CPM/KRB

cc:



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

U.S. Patent Application No.: 09/893,633

Confirmation No.: 5630

Applicant(s): PAYNE, Richard C.

Filed: 06/27/2001

For: **COMPUTER BASED SYSTEM FOR VALUING AND HEDGING
CUSTOMIZED INDEXED CALL OPTION**

TC/Art Unit: 3692

Examiner: Clement B. GRAHAM

Docket No.: GENP:101_US_

Customer No.: 24041

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/C. Paul Maliszewski/

C. Paul Maliszewski
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AMENDMENT AND REQUEST FOR RECONSIDERATION

Mail Stop RCE
Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Honorable Sir:

This Amendment and Request for Reconsideration is in response to the Office Action dated May 31, 2007 regarding the above-identified patent application. This paper is the required submittal for a Request for Continued Examination (RCE) filed this same day.

Amendments to the Claims begin on page 2 of this paper.

Remarks/Arguments begin on page 9 of this paper.

A check in the amount of \$395.00 for the required RCE fee is attached.